

# Topological Data Analysis and Clustering Algorithms in Machine Learning

İsmail GÜZEL

Mathematical Engineering - İTÜ

March 13, 2022

# Outline

- 1 Introduction
- 2 Hierarchical Clustering
- 3 Topological Data Analysis
- 4 Theoretical Contributions
- 5 Experimental Contributions

- 1 Introduction
- 2 Hierarchical Clustering
- 3 Topological Data Analysis
- 4 Theoretical Contributions
- 5 Experimental Contributions

# Outline

- 1 Introduction
- 2 Hierarchical Clustering
- 3 Topological Data Analysis
- 4 Theoretical Contributions
- 5 Experimental Contributions

## Hierarchical Clustering and Zeroth Persistent Homology

Ismail GÜZEL<sup>1</sup> · Atabey KAYGUN<sup>2</sup>

<sup>1</sup>iguzel@itu.edu.tr  
<sup>2</sup>kaygun@itu.edu.tr  
Istanbul Technical University



Computational Statistics (2022) 37:1963–1983  
<https://doi.org/10.1007/s00180-021-01187-z>

ORIGINAL PAPER

A new non-archimedean metric on persistent homology

Ismail Güzel<sup>1</sup> · Atabey Kaygun<sup>1</sup>

Received: 28 May 2021 / Accepted: 1 December 2021 / Published online: 21 January 2022  
© The Author(s), under exclusive licence to Springer-Verlag GmbH Germany, part of Springer Nature 2021



# Outline

- 1 Introduction
- 2 Hierarchical Clustering
- 3 Topological Data Analysis
- 4 Theoretical Contributions
- 5 Experimental Contributions

## Hierarchical Clustering and Zeroth Persistent Homology

Ismail GÜZEL<sup>1</sup> · Atabey KAYGUN<sup>2</sup>

<sup>1</sup>iguzel@itu.edu.tr  
<sup>2</sup>kaygun@itu.edu.tr  
Istanbul Technical University

İTÜ



Computational Statistics (2022) 37:1963–1983  
<https://doi.org/10.1007/s00180-021-01187-z>

ORIGINAL PAPER

### A new non-archimedean metric on persistent homology

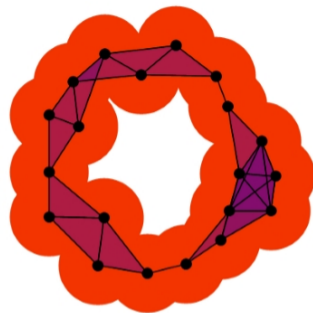
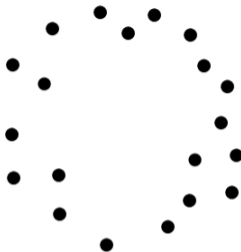
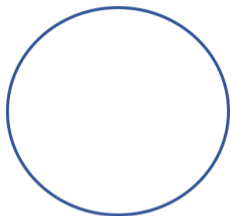
Ismail Güzel<sup>1</sup> · Atabey Kaygun<sup>1</sup>

Received: 28 May 2021 / Accepted: 1 December 2021 / Published online: 21 January 2022  
© The Author(s), under exclusive licence to Springer-Verlag GmbH Germany, part of Springer Nature 2021



## PERSISTENT HOMOLOGY, MATROIDS AND COBORDISMS

İSMAIL GÜZEL AND ATABEY KAYGUN



*"Data has shape, and shape has meaning."*

*Prof. Gunnar Carlsson*

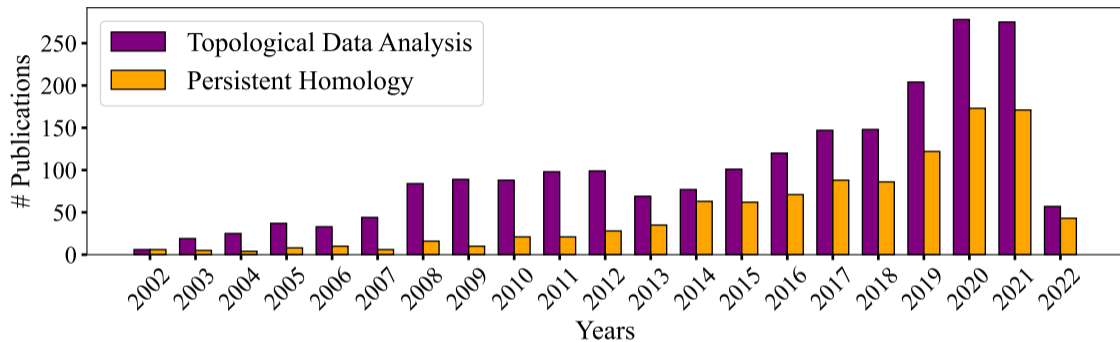
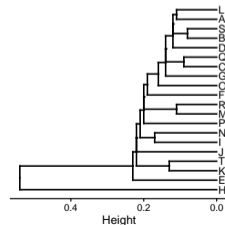
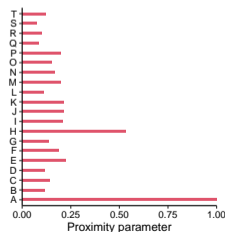
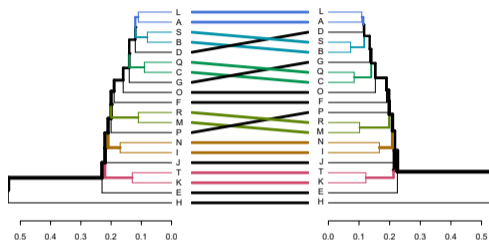


Figure: The data taken from SCOPUS

# Questions answered

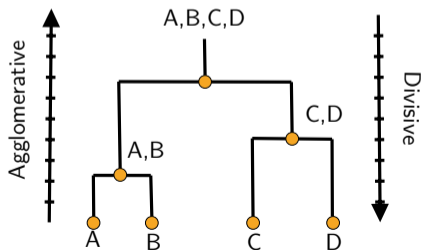
1. What are the similarities and differences between hierarchical clustering and 0-th persistent homology?
2. What is the difference from the persistence barcode?
3. What about higher dimensional persistent homology?
4. What are the experiments on real datasets?

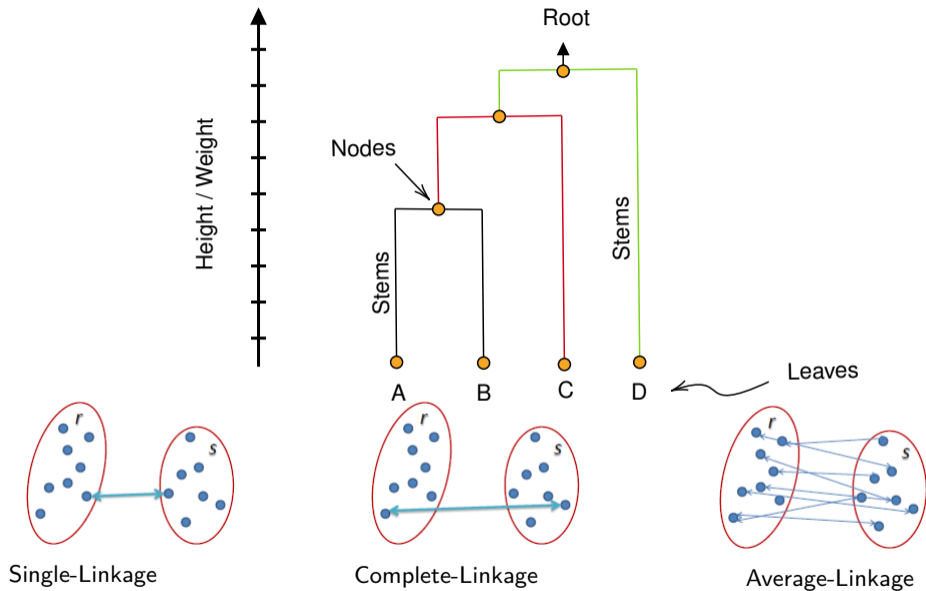




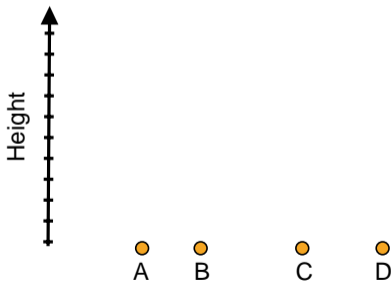
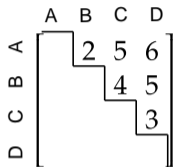
# Hierarchical Clustering

- Unsupervised machine learning algorithm
- Aim: divide the data set into disjoint subsets such that
  - homogeneous in cluster
  - heterogeneous between clusters
- The metric structure of ambient space from data set.
- A nice tree-based representation, called a *dendrogram*

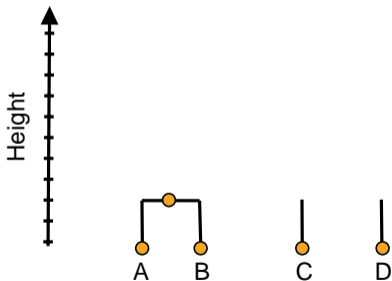
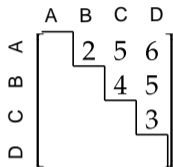




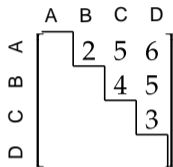
# Cophenetic Matrix



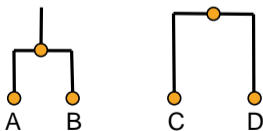
# Cophenetic Matrix



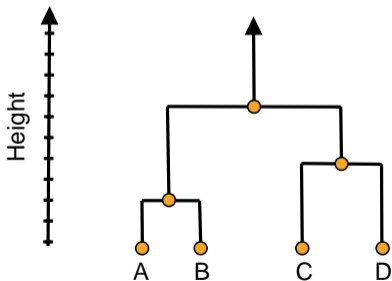
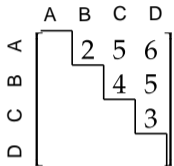
## Cophenetic Matrix



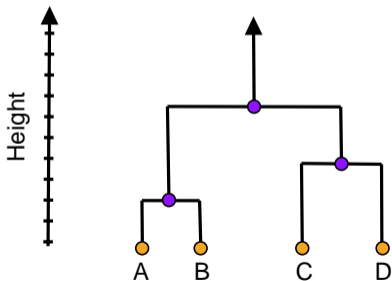
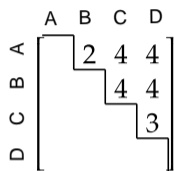
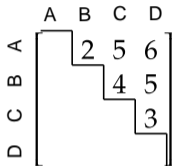
Height



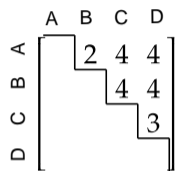
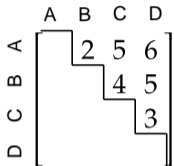
# Cophenetic Matrix



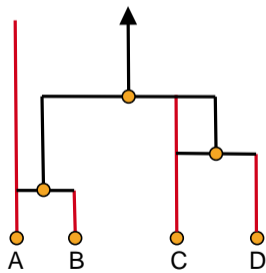
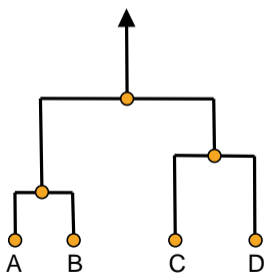
## Cophenetic Matrix



## Cophenetic Matrix

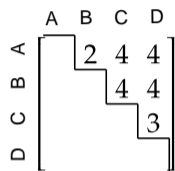
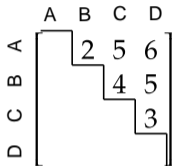


Height ↑

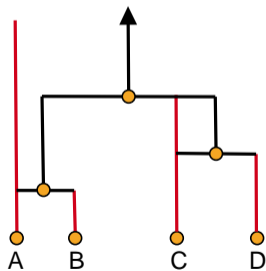
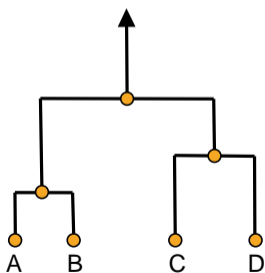




## Cophenetic Matrix



Height ↑



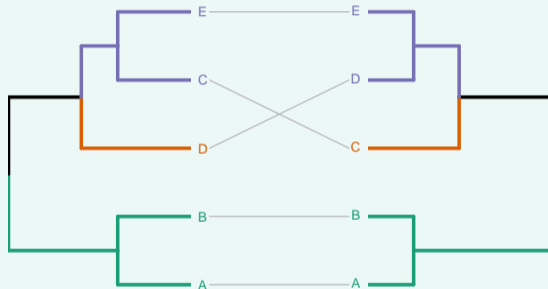
# Compare Dendrograms

- Two Dendrograms
- Two cophenetic matrix

## Mantel Test

- Non-parametric Test
- Like correlation coefficient
- Mantel statistic  $r \in [-1, 1]$

## Tanglegrams



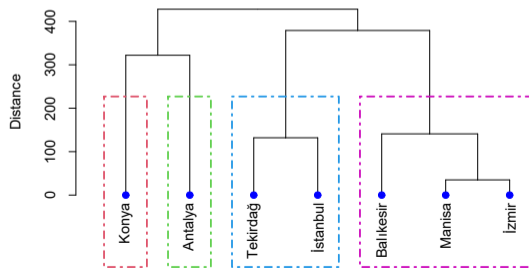
Entanglement values ranges 0 and 1.

# Silhouette scores

## Silhouette scores

$$s(x) = \frac{b(x) - a(x)}{\max(a(x), b(x))},$$

$$a(x) = d(x, U(x)) \quad \text{and} \quad b(x) = \min_{C \neq U(x)} d(x, C).$$



	Tekirdağ	İstanbul	Balıkesir	Manisa	İzmir	Konya	Antalya
Tekirdağ	0						
İstanbul	132	0					
Balıkesir	379	390	0				
Manisa	511	529	141	0			
İzmir	506	564	176	35	0		
Konya	794	662	551	534	550	0	
Antalya	850	718	505	428	444	322	0

Points	Cohesion	Separation	Silhouette
Tekirdağ	132	465.3	0.72
İstanbul	132	494.5	0.73
Balıkesir	158.5	384.5	0.59
Manisa	88	428	0.79
İzmir	105.5	444	0.76
Konya	0	322	1
Antalya	0	322	1

Overall silhouette score is  $s \approx 0.80$

# Simplicial Technology

## $k$ -simplex

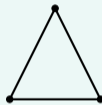
0-simplex  
vertex



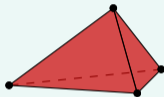
1-simplex  
edge



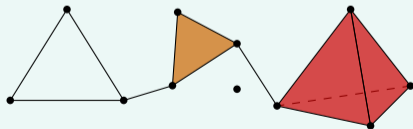
2-simplex  
triangle



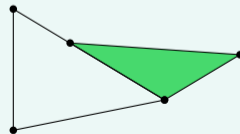
3-simplex  
tetrahedron



## Simplicial complex



Simplicial complex



Not simplicial complex

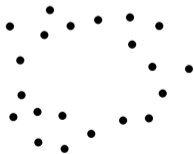
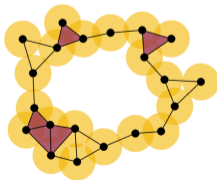
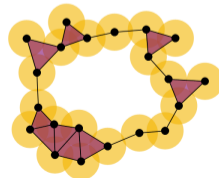
# Point Cloud to Complex

## Čech Complex

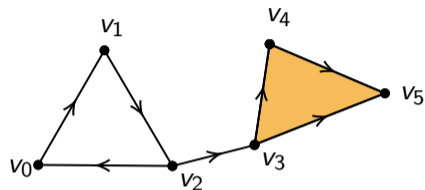
$$\mathcal{C}_\varepsilon = \left\{ \sigma \subseteq \mathcal{D} \mid \bigcap_{x \in \sigma} B_\varepsilon(x) \neq \emptyset \right\}, \quad B_\varepsilon(x) = \{y \mid d(x,y) < \varepsilon\}$$

## Vietoris Rips Complex

$$\mathcal{R}_\varepsilon = \{ \sigma \subset \mathcal{D} \mid \|x - y\| \leq \varepsilon, \text{ for all } x, y \in \sigma \}$$

 $\mathcal{D}$ 

 $\mathcal{C}_\varepsilon$ 

 $\mathcal{R}_\varepsilon$ 


# Example: A Simplicial complex $\mathcal{K}$



$$\begin{aligned}\mathcal{C}_0 &= \{v_0, v_1, v_2, v_3, v_4, v_5\}, \\ \mathcal{C}_1 &= \{[v_0, v_1], [v_1, v_2], [v_2, v_0], [v_2, v_3], \\ &\quad [v_3, v_4], [v_4, v_5], [v_3, v_5]\}, \\ \mathcal{C}_2 &= \{[v_3, v_4, v_5]\}.\end{aligned}$$

$$0 \xrightarrow{\partial_3} \mathcal{C}_2 \xrightarrow{\partial_2} \mathcal{C}_1 \xrightarrow{\partial_1} \mathcal{C}_0 \xrightarrow{\partial_0} 0$$

## Homology

The  $k^{\text{th}}$  homology group of  $\mathcal{K}$  is defined by

$$H_k(K) := \ker(\partial_k) / \text{im}(\partial_{k+1})$$

$$\beta_0 = \dim(\ker(\partial_0)) - \dim(\text{im}(\partial_1)) = 6 - 5 = 1$$

$$\beta_1 = \dim(\ker(\partial_1)) - \dim(\text{im}(\partial_2)) = 2 - 1 = 1$$

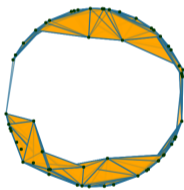
# Vietoris-Rips Filtration

Filtration of a simplicial complex  $K$  is a collection of subcomplexes  $\mathbb{K} = \{K_\varepsilon : \varepsilon \in \mathbb{R}^+\}$  that satisfy  $K_{\varepsilon_1} \subseteq K_{\varepsilon_2}$  whenever  $\varepsilon_1 \leq \varepsilon_2$ .



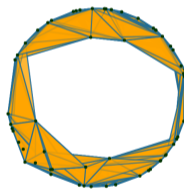
$$\beta_0 = 50$$

$$\beta_1 = 0$$



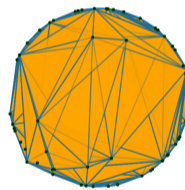
$$\beta_0 = 1$$

$$\beta_1 = 1$$



$$\beta_0 = 1$$

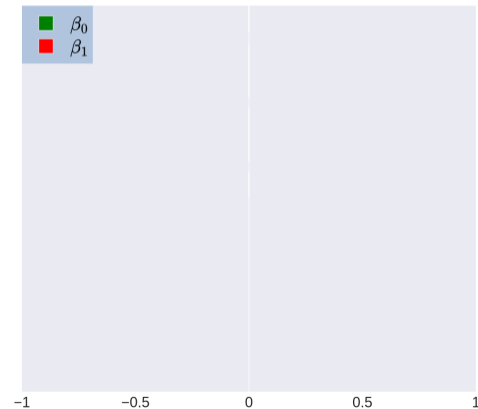
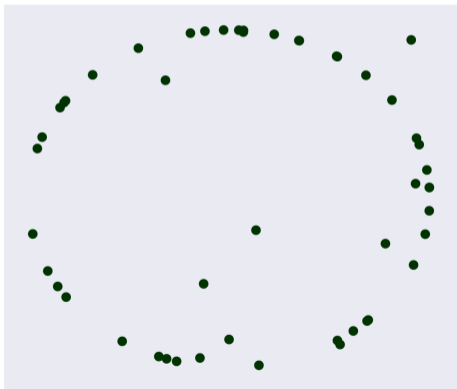
$$\beta_1 = 1$$



$$\beta_0 = 1$$

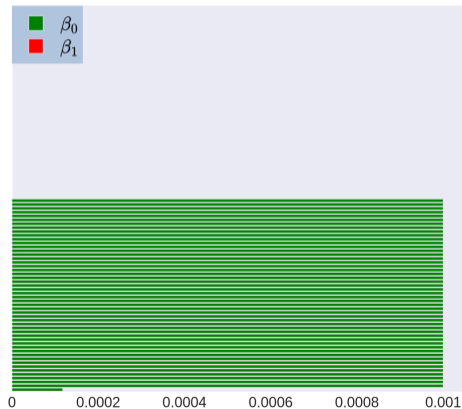
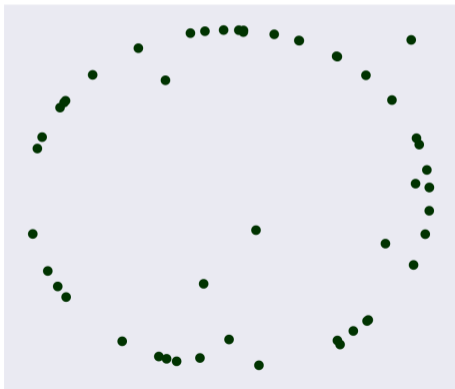
$$\beta_1 = 0$$

# Persistent Homology and Barcodes

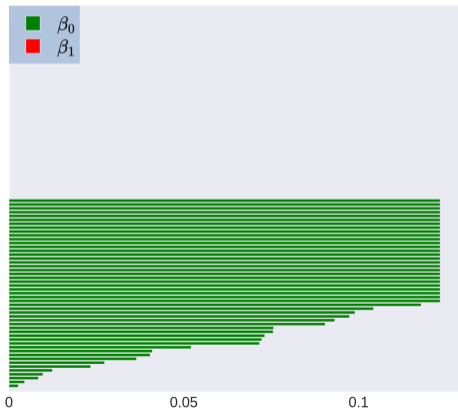
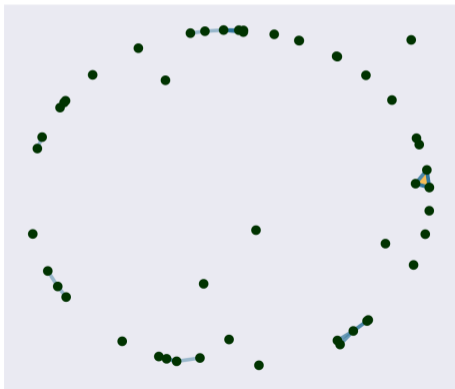




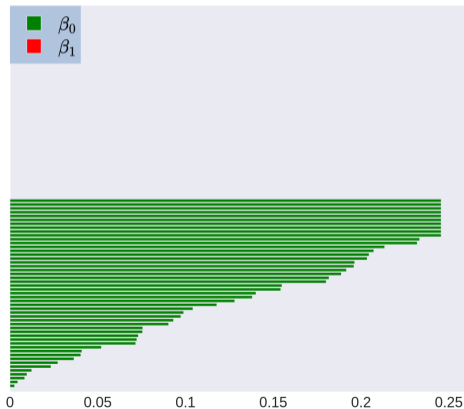
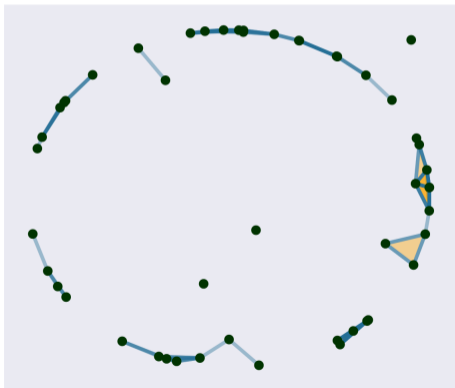
# Persistent Homology and Barcodes



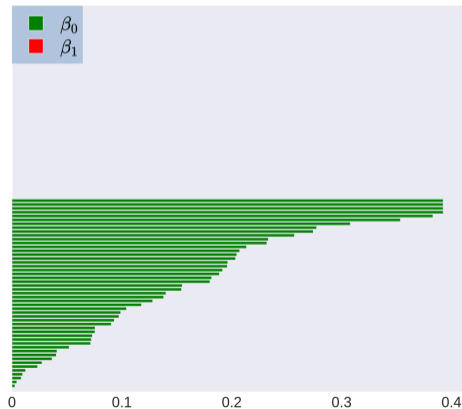
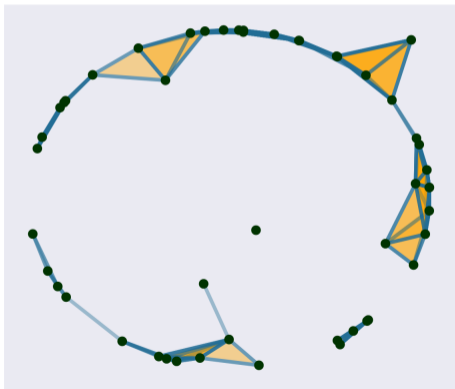
# Persistent Homology and Barcodes



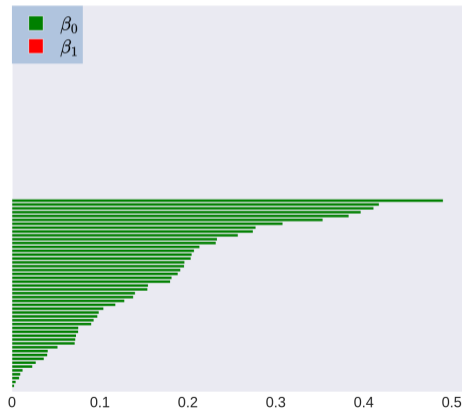
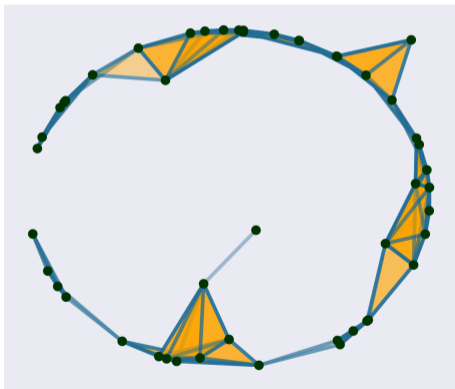
# Persistent Homology and Barcodes



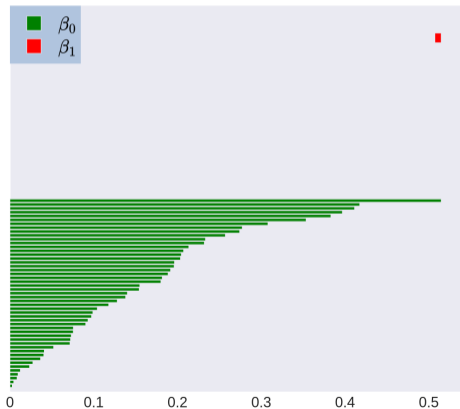
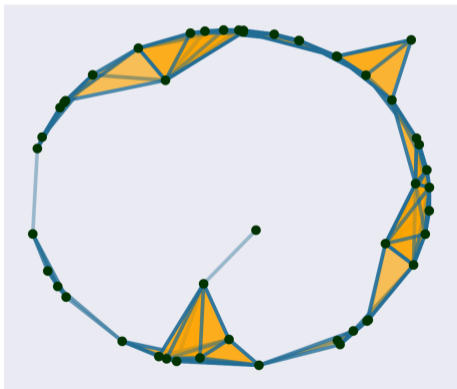
# Persistent Homology and Barcodes



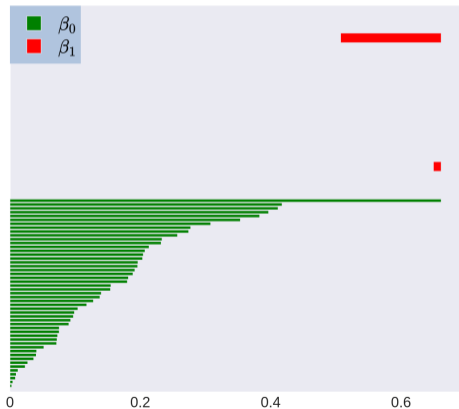
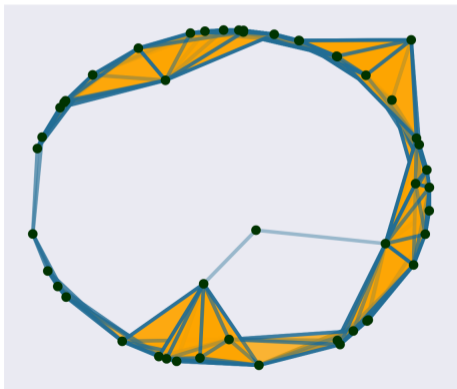
# Persistent Homology and Barcodes



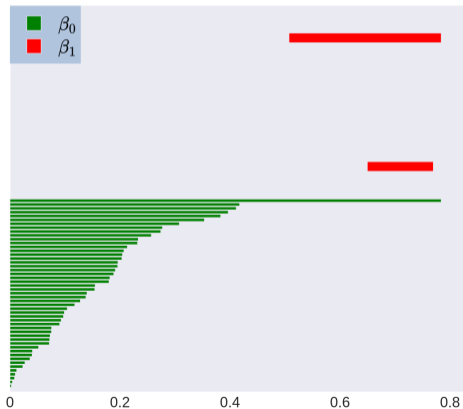
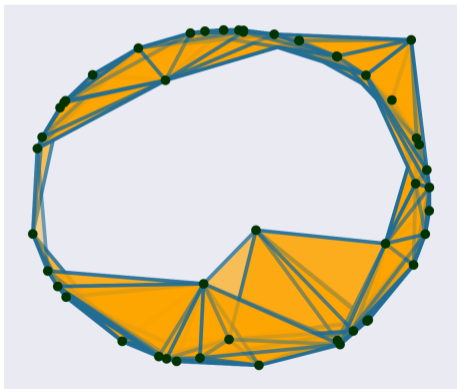
# Persistent Homology and Barcodes



# Persistent Homology and Barcodes

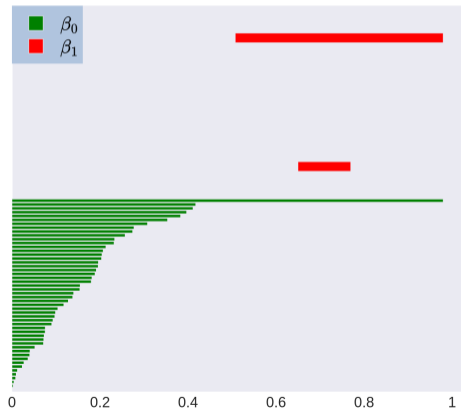
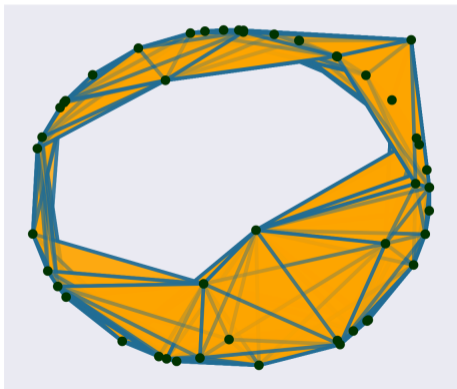


# Persistent Homology and Barcodes

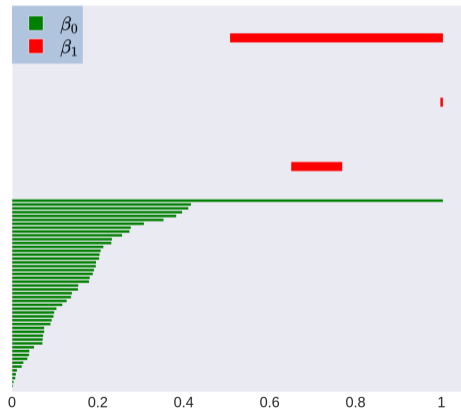
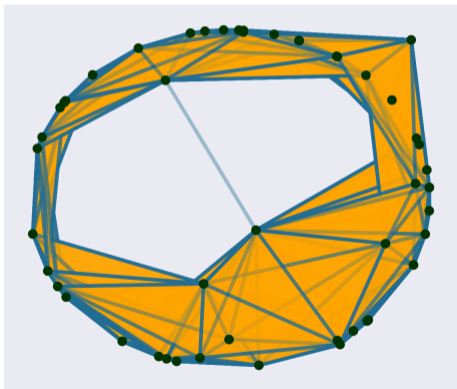




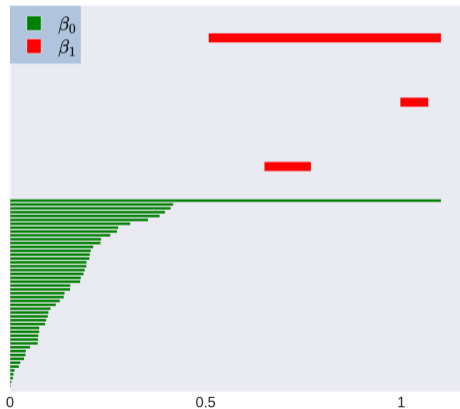
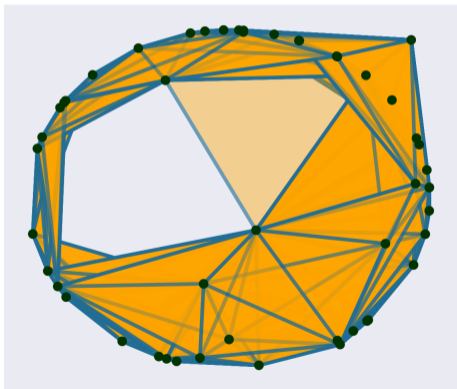
# Persistent Homology and Barcodes



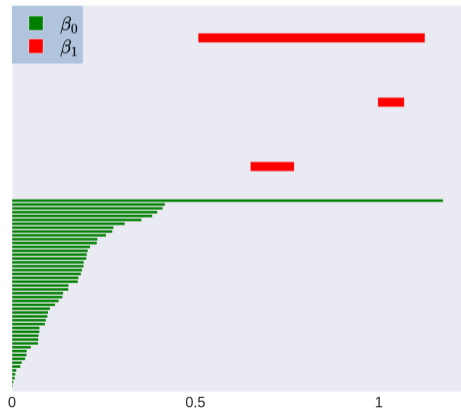
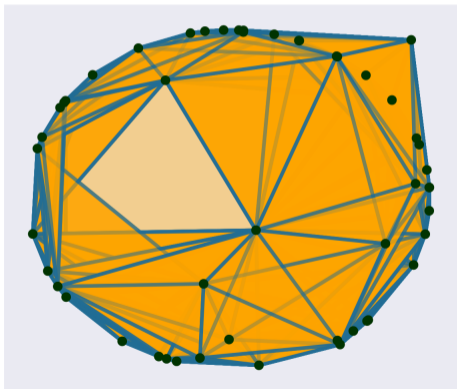
# Persistent Homology and Barcodes



# Persistent Homology and Barcodes



# Persistent Homology and Barcodes



# Persistent Homology

Filtered Vietoris-Rips complex

$$R_{\varepsilon_1} \hookrightarrow R_{\varepsilon_2} \hookrightarrow \dots \hookrightarrow R_{\varepsilon_i} \hookrightarrow \dots \hookrightarrow R_{\varepsilon_j} \hookrightarrow \dots \hookrightarrow R_{\varepsilon_{\max}}$$

After applying the homology functor,

$$H_k(R_{\varepsilon_1}) \rightarrow H_k(R_{\varepsilon_2}) \rightarrow \dots \rightarrow H_k(R_{\varepsilon_i}) \rightarrow \dots \rightarrow H_k(R_{\varepsilon_j}) \rightarrow \dots \rightarrow H_k(R_{\varepsilon_{\max}})$$

For every pair  $\varepsilon_i, \varepsilon_j$

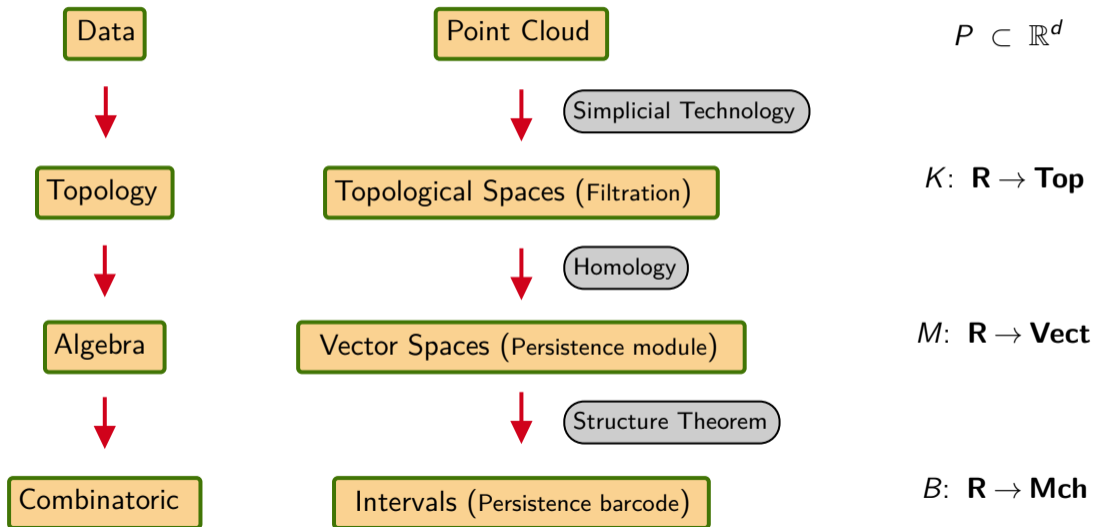
$$\psi_{\varepsilon_i, \varepsilon_j}^k : H_k(R_{\varepsilon_i}) \rightarrow H_k(R_{\varepsilon_j})$$

## Definition

The  $k$ -th persistent homology group is

$$PH_k := \text{im } \psi_{\varepsilon_i, \varepsilon_j}^k.$$

# Persistent Homology - Big Picture



# Relation between homology classes

How do we connect points ?



# Relation between homology classes

How do we connect points ?



$$\partial_1 = [0]$$

$$\partial_0 = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$



# Relation between homology classes

How do we connect points ?



$$\partial_1 = \begin{bmatrix} 1 \\ 1 \\ 0 \\ 0 \end{bmatrix}$$

$$\partial_0 = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

# Relation between homology classes

How do we connect points ?

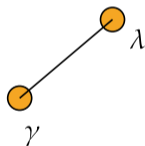
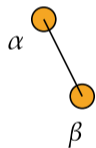


$$\partial_1 = \begin{bmatrix} 1 & 0 \\ 1 & 0 \\ 0 & 1 \\ 0 & 1 \end{bmatrix}$$

$$\partial_0 = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

# Relation between homology classes

How do we connect points ?

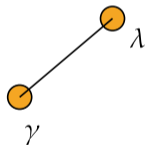
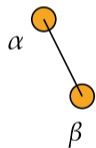


$$D = \begin{bmatrix} 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 \end{bmatrix}$$

Rank = 2

# Relation between homology classes

How do we connect points ?



$$D = \begin{bmatrix} 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 \end{bmatrix}$$

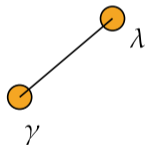
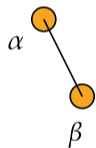
Rank = 2

$$D_{\alpha,\beta} = \begin{bmatrix} 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 \\ 1 & 1 & 0 & 0 \end{bmatrix}$$

Rank = 2

# Relation between homology classes

How do we connect points ?



$$D = \begin{bmatrix} 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 \end{bmatrix}$$

Rank = 2

$$D_{\alpha,\beta} = \begin{bmatrix} 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 \\ 1 & 1 & 0 & 0 \end{bmatrix}$$

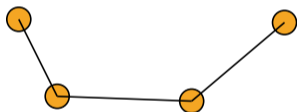
Rank = 2

$$D_{\alpha,\gamma} = \begin{bmatrix} 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 \\ 1 & 0 & 1 & 0 \end{bmatrix}$$

Rank = 3

# Relation between homology classes

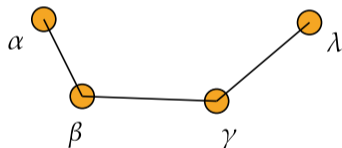
How do we connect points ?



$$\partial_1 = \begin{bmatrix} 1 & 0 & 0 \\ 1 & 0 & 1 \\ 0 & 1 & 1 \\ 0 & 1 & 0 \end{bmatrix} \quad \partial_0 = \begin{bmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{bmatrix}$$

# Relation between homology classes

How do we connect points ?



$$D = \begin{bmatrix} 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 \\ 0 & 1 & 1 & 0 \end{bmatrix}$$

Rank = 3

$$D_{\beta,\gamma} = \begin{bmatrix} 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 \\ 0 & 1 & 1 & 0 \\ 0 & 1 & 1 & 0 \end{bmatrix}$$

Rank = 3

$$D_{\alpha,\gamma} = \begin{bmatrix} 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 \\ 0 & 1 & 1 & 0 \\ 1 & 0 & 1 & 0 \end{bmatrix}$$

Rank = 3

# Homological Distance

- 1 Given a point cloud  $P$ , construct the Rips Complex

$$R_\varepsilon(P) = \{\sigma \subset P \mid \|x - y\| \leq \varepsilon, \text{ for all } x, y \in \sigma\}$$

- 2  $R_{\varepsilon_1} \subseteq R_{\varepsilon_2}$  for a given pair  $\varepsilon_1$  and  $\varepsilon_2$  values with  $\varepsilon_1 \leq \varepsilon_2$
- 3 For the pair  $\varepsilon_1 \leq \varepsilon_2$  we have the natural map in homology,

$$\psi_{\varepsilon_1, \varepsilon_2}^k : H_k(R_{\varepsilon_1}) \rightarrow H_k(R_{\varepsilon_2})$$

- 4 Take two cycles  $\alpha, \beta \in H_k(R_{\varepsilon_1})$
- 5  $\alpha' := \psi_{\varepsilon_1, \varepsilon_2}^k(\alpha)$  and  $\beta' := \psi_{\varepsilon_1, \varepsilon_2}^k(\beta)$  by padding  $\alpha$  and  $\beta$  with suitable number of 0's.



# Homological Distance

- Add  $\alpha'$ ,  $\beta'$  and  $\alpha', \beta'$  together to the differential matrix  $\mathcal{D}^t$  at  $\varepsilon_2$ .
- Calculate rank of the differential matrices.
- Check whether  $\psi_{\varepsilon_1, \varepsilon_2}^k(\alpha)$  and  $\psi_{\varepsilon_1, \varepsilon_2}^k(\beta)$  are linearly dependent.

## Homological Distance

$k$ -th homological cophenetic distance  $D_k(\alpha, \beta)$  between homology classes  $\alpha$  and  $\beta$  is defined as

$$\inf \left\{ \eta - \varepsilon \geq 0 \mid \psi_{\varepsilon, \eta}^k(\alpha), \psi_{\varepsilon, \eta}^k(\beta) \text{ non-zero and lin. dep.} \right\}$$

# Experiments

## A synthetic point cloud

- $D \in \mathbb{R}^2$  and  $|D| = 20$ ,
- Uniform distribution over  $[0, 1)$ ,
- Labeled with the first 20 letters.

**Input:** A point cloud  $D$ ,  $|D| = 20$  and  
a list  $\varepsilon = \{\varepsilon_1 = 0, 0.05, \dots, 0.95, \varepsilon_{max} = 1\}$ .

**Output:** Dendrograms

**begin**

HomDist = [ ]<sub>20×20</sub>

$\mathcal{R}_\varepsilon(P) \leftarrow$  Vietoris-Rips filtration ;

**for each**  $\varepsilon$  **do**

**for every**  $\alpha_i, \alpha_j \in H_0(\mathcal{R}_\varepsilon)$  **do**

        HomDist <sub>$i,j$</sub>   $\leftarrow$  inf{ $\varepsilon$  | *check lin. dep.*} ;

**end**

**end**

$E(D) \leftarrow$  EuclideanDist( $D$ );

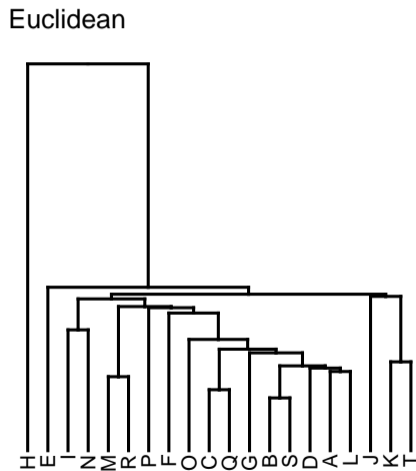
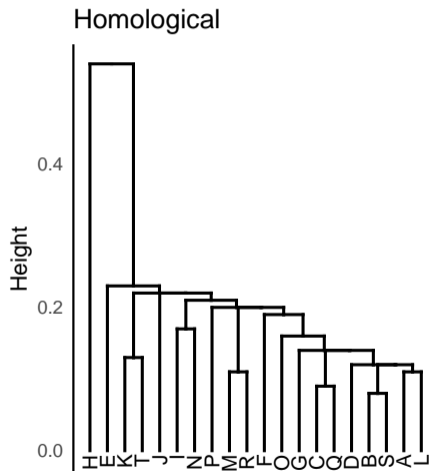
$Dend_1 \leftarrow$  HierarchicalClustering(HomDist( $D$ )) ;

$Dend_2 \leftarrow$  HierarchicalClustering( $E(D)$ ) ;

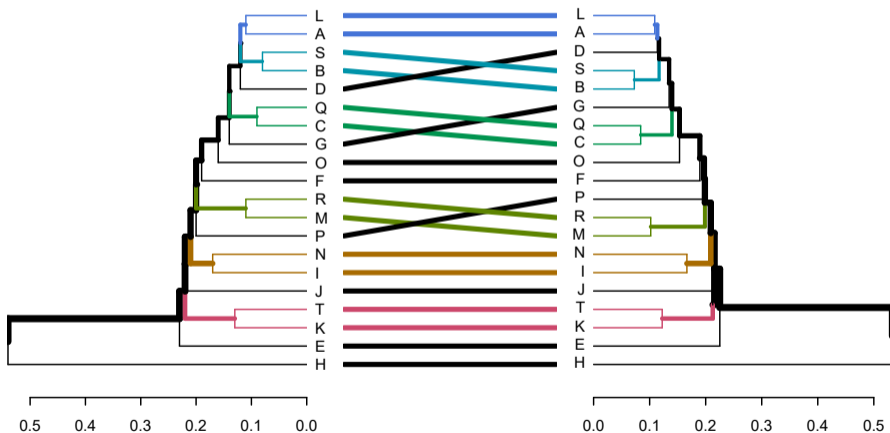
Compare( $Dend_1, Dend_2$ )

**end**

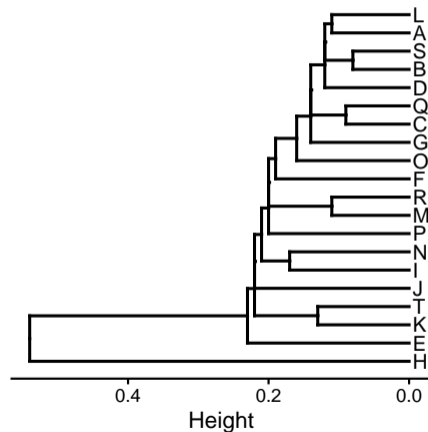
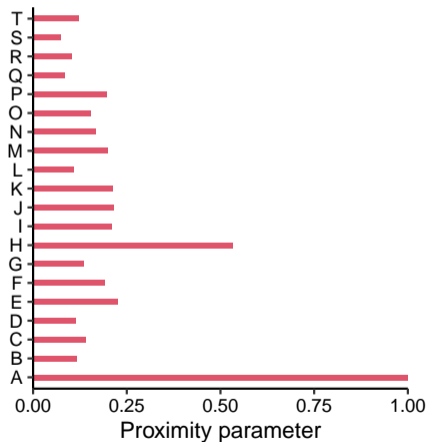
# Two dendrograms with single-linkage



# Tanglegram with the entanglement of 0.01



## Barcode and Enriched Barcode



# Turkish Cities and Mantel Statistics



- 24 Türkiye cities
- Single-linkage
- Different metrics
- Dendrograms

Metrics	Bray-Curtis	Cosine	Manhattan	Euclidean	Minkowski	Homological
Bray-Curtis	1.00	0.64	0.96	0.90	0.90	0.90
Cosine		1.00	0.61	0.52	0.69	0.59
Manhattan			1.00	0.96	0.87	0.97
Euclidean				1.00	0.75	0.98
Minkowski					1.00	0.78
Homological						1.00

# Turkish Cities and Mantel Statistics



- 24 Türkiye cities
- Single-linkage
- Different metrics
- Dendrograms

Metrics	Bray-Curtis	Cosine	Manhattan	Euclidean	Minkowski	Homological
Bray-Curtis	1.00	0.64	0.96	0.90	0.90	0.90
Cosine		1.00	0.61	0.52	0.69	0.59
Manhattan			1.00	0.96	0.87	0.97
Euclidean				1.00	0.75	0.98
Minkowski					1.00	0.78
Homological						1.00

# Datasets

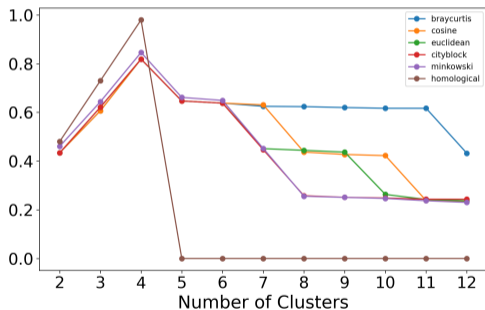
Table: Datasets used and their properties.

Dataset	#Instances	#Attributes	Supervised	#Classes
Turkish Cities	82	2	No	-
Iris	150	4	Yes	3
Cancer Coimbra	116	10	Yes	2
Synthetic (total separation)	100	100	Yes	4
Synthetic (with mixture)	100	2	Yes	4

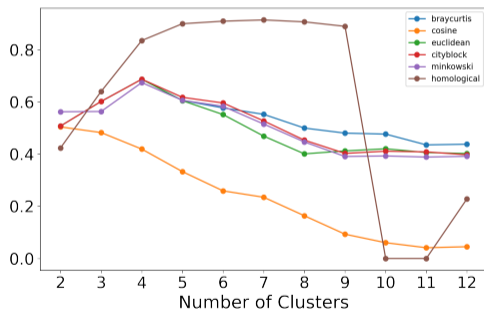


## Silhouette Scores

Synthetic Perfect

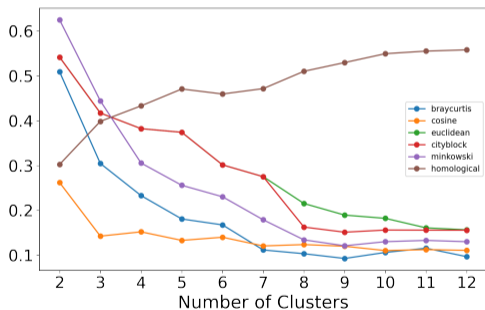


Synthetic Mixed

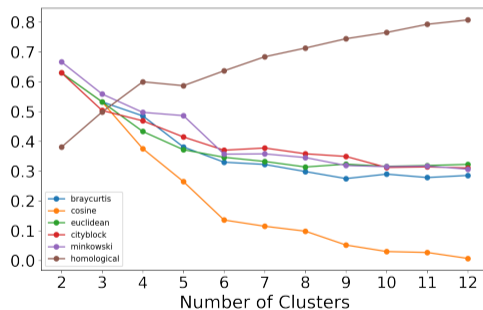


## Silhouette Scores

Cancer Coimbra



Iris



# A comparison of metrics on Mixed Synthetic datasets.

## Synthetic Dataset

Metric	F1	Acc.	Hom.	Comp.	M.Info	Rand
Bray-Curtis	1.00 A	1.00 A	1.00 A	1.00 A	1.38 A	1.00 A
Cosine	0.83 A	0.91 A	0.72 C	0.77 S	1.38 C	0.87 A
Manhattan	1.00 S	1.00 S	1.00 S	1.00 S	0.99 S	1.00 S
Euclidean	1.00 A	1.00 A	1.00 A	1.00 A	1.38 A	1.00 A
Minkowski	1.00 C	1.00 C	1.00 C	1.00 C	1.38 C	1.00 C
Homological	0.98 A	0.99 A	0.95 A	1.00 S	1.31 A	0.98 A

# A comparison of metrics on Cancer datasets.

## Real Dataset

Metric	F1	Acc.	Hom.	Comp.	M.Info	Rand
Bray-Curtis	0.56 S	0.56 S	0.02 A	0.14 S	0.02 A	0.50 S
Cosine	0.55 C	0.55 C	0.01 S	0.12 S	0.01 S	0.50 C
Manhattan	0.53 S	0.53 S	0.02 A	0.13 A	0.02 A	0.50 S
Euclidean	0.54 W	0.54 W	0.02 A	0.13 A	0.02 A	0.50 W
Minkowski	0.53 S	0.53 S	0.02 A	0.13 A	0.02 A	0.50 S
Homological	0.61 W	0.61 W	0.03 W	1.00 S	0.02 W	0.52 W

## BAP, TÜBİTAK, Michigan State University, Other Works

## BASARIM2022

## Classification of Stochastic Processes with Topological Data Analysis

Ismail Güzel  
Mathematical Engineering  
Istanbul Technical University  
Istanbul, Türkiye  
iguzel@itu.edu.tr

Atabey Kaygun  
Mathematical Engineering  
Istanbul Technical University  
Istanbul, Türkiye  
kaygun@itu.edu.tr

## SIAM Data Mining: TDA, ML

A Case Study on Identifying Bifurcation and Chaos with CROCKER Plots

Ismail Güzel \* Elizabeth Munch † Firas Khasawneh ‡

## Abstract

The CROCKER plot is a coarsened but easy to visualize representation of the data in a one-parameter varying family of persistence barcodes. In this paper, we use the CROCKER plot to view changes in the persistence under a varying bifurcation parameter. We perform experiments to support our methods using the Rössler and Lorenz system and show the relationship with common methods for bifurcation analysis such as the Lyapunov exponent.

Erzincan Üniversitesi  
Fen Bilimleri Enstitüsü Dergisi  
2022, 15(ÖZEL SAYI I), 1-13  
ISSN: 1307-9085, e-ISSN: 2149-4584  
Araştırma Makalesi

Erzincan University  
Journal of Science and Technology  
2022, 15(SPECIAL ISSUE I), 1-13  
DOI: 10.18185/erzifbed.1199660  
Research Article

## Attitudes and Behaviors of Turkish Consumers Regarding the Olive Oil Consumption

Ümit ALTUNTAŞ<sup>1,2\*</sup>, İsmail GÜZEL<sup>3</sup>, Özlem YILMAZ<sup>4</sup>, Sibel ULUATA<sup>5</sup>,

Beraat ÖZÇELİK<sup>1</sup>

<sup>1</sup>Istanbul Technical University, Department of Food Engineering, 34469, Istanbul, Türkiye

<sup>2</sup>Gümüşhane University, Department of Food Engineering, 29100, Gümüşhane, Türkiye

<sup>3</sup>Istanbul Technical University, Mathematical Engineering Department, 34469, Istanbul, Türkiye

<sup>4</sup>Bayburt University, Hotel, Restaurant and Catering Department, 69000, Bayburt, Türkiye

<sup>5</sup>Inonu University, Department of Food Engineering, 44280, Malatya, Türkiye

Geliş / Received: 04/11/2022, Kabul / Accepted: 09/12/2022




Article

## Phenolic Constituents, Antioxidant and Antimicrobial Activity and Clustering Analysis of Propolis Samples Based on PCA from Different Regions of Anatolia

Ümit Altuntaş<sup>1,2,\*</sup>, İsmail Güzel<sup>3</sup> and Beraat Özçelik<sup>1,4</sup>

<sup>1</sup> Department of Food Engineering, Istanbul Technical University, 34469 Istanbul, Turkey

<sup>2</sup> Department of Food Engineering, Gümüşhane University, 29000 Gümüşhane, Turkey

<sup>3</sup> Department of Mathematical Engineering, Istanbul Technical University, 34469 Istanbul, Turkey

<sup>4</sup> Bioactive Research & Innovation, Food Manuf. Indust. Trade Ltd., Teknokent ARI-3, 34469 Istanbul, Turkey

\* Correspondence: ualtuntas@itu.edu.tr

## BAP, TÜBİTAK, Michigan State University, Other Works

## BASARIM2022

Classification  
Topology

Ismail Güzel  
Mathematical Engineering  
Istanbul Technical University  
Istanbul, Türkiye  
iguzel@itu.edu.tr

Erzincan Üniversitesi  
Fen Bilimleri Enstitüsü Dergisi  
2022, 15(ÖZEL SAYI 1), 1-11  
ISSN: 1307-9085, e-ISSN: 2821-3911  
Araştırma Makalesi

Attitudes and Behavior  
Ümit ALTUNTAŞ

<sup>1</sup>Istanbul Technical University  
<sup>2</sup>Gümüşhane University  
<sup>3</sup>Istanbul Technical University  
<sup>4</sup>Bayburt University

<sup>1</sup>Department of Mathematics, Istanbul Technical University, Istanbul, Turkey  
<sup>2</sup>Department of Mathematics, Gümüşhane University, Gümüşhane, Turkey  
<sup>3</sup>Department of Mathematics, Istanbul Technical University, Istanbul, Turkey  
<sup>4</sup>Department of Food Engineering, Inonu University, Malatya, Turkey

Geliş / Received: 04/11/2022, Kabul / Accepted: 09/12/2022

**AIP** Chaos: An Interdisciplinary Journal of Nonlinear Science

HOME BROWSE INFO FOR AUTHORS COLLECTIONS

Featured

## Detecting bifurcations in dynamical systems with CROCKER plots

Ismail Güzel, Elizabeth Munch and Firas A. Khasawneh

**Influence of higher-order modes on ferroconvection**  
C. Kanchana, J. A. Vélez, L. M. Pérez, et al.

**Templex: A bridge between homologies and templates for chaotic attractors**  
Gisela D. Charó, Christophe Letellier and Denisse Sciamarella

**Generalized multistability and its control in a laser**  
Riccardo Meucci, Jean Marc Ginoux, Mahtab Mehrabbeik, et al.

**Chimeras on annuli**  
Carlo R. Laing

**Microbial Activity on PCA**

Editor's picks

Department of Mathematical Engineering, Istanbul Technical University, Istanbul, Turkey  
Department of Mathematics, Gümüşhane University, Gümüşhane, Turkey  
Department of Mathematics, Istanbul Technical University, Istanbul, Turkey  
Department of Food Engineering, Inonu University, Malatya, Turkey

Correspondence: ualtuntas@itu.edu.tr

## SIAM Data Mining: TDA, ML

## CROCKER Plots

Khasawneh <sup>†</sup>

data in a one-parameter plot to view changes in the bifurcation analysis such as

Microbial Activity  
on PCA

Istanbul, Turkey  
Istanbul, Turkey  
Istanbul, Turkey  
Department of Mathematical Engineering, Istanbul Technical University, Istanbul, Turkey  
Bioscience Research & Innovation, Food Manuf. Indust. Trade Ltd., Teknokent ARI-3, 34469 Istanbul, Turkey  
Correspondence: ualtuntas@itu.edu.tr

# Future Work

## On this thesis

- Apply real-world dataset for the first degree of homology.
- Visualization tools for the first degree of homology.
- Apply to the categorical dataset.
- Deal with problems about computational power and memory.

## Other tasks

- Relation between Lyapunov exponent and persistent homology
- Two dimension bifurcation and CROCKER
- Classification Alpha-stable processes via TDA

**Thank You!**

**İTÜ**





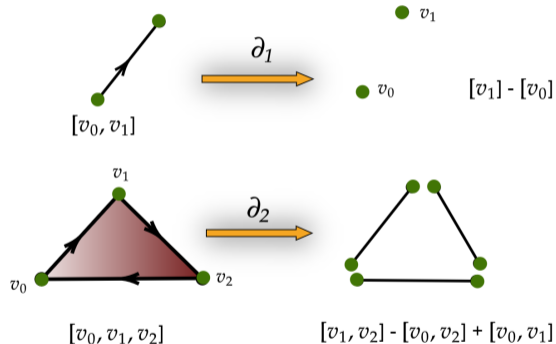
# Separation of complex

## Chain Complex

A chain complex of a simplicial complex  $\mathcal{K}$  is a sequence of abelian groups or modules  $\mathcal{C}_k$  connected by homomorphisms  $\partial_k : \mathcal{C}_k \rightarrow \mathcal{C}_{k-1}$  such that  $\partial_{k-1} \circ \partial_k = 0$  for  $k \in \mathbb{Z}$ .

$$\dots \xrightarrow{\partial_{k+2}} \mathcal{C}_{k+1} \xrightarrow{\partial_{k+1}} \mathcal{C}_k \xrightarrow{\partial_k} \dots$$

$$\partial_k \sigma = \sum_{i=0}^k (-1)^i [v_0, v_1, \dots, \hat{v}_i, \dots, v_k]$$



## Homology and Betti Number

The  $k^{\text{th}}$  homology group of a simplicial complex  $K$  is defined by

$$H_k(K) := \ker(\partial_k) / \text{im}(\partial_{k+1}).$$

The dimension of the  $k^{\text{th}}$  homology group of  $K$  is called the  $k^{\text{th}}$  Betti number  $\beta_k(K)$ .

Point



$$\beta_0 = 1$$

$$\beta_1 = 0$$

$$\beta_2 = 0$$

Circle

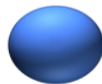


$$\beta_0 = 1$$

$$\beta_1 = 1$$

$$\beta_2 = 0$$

Sphere



$$\beta_0 = 1$$

$$\beta_1 = 0$$

$$\beta_2 = 1$$

Torus



$$\beta_0 = 1$$

$$\beta_1 = 2$$

$$\beta_2 = 1$$

# Well-defined Persistence barcode

- Persistence modules

$$V_1 \rightarrow V_2 \rightarrow \cdots \rightarrow V_r \rightarrow \cdots \rightarrow V_s \rightarrow \cdots \rightarrow V_n$$

- Decompose persistence module into interval modules,

$$0 \rightarrow 0 \rightarrow \cdots \rightarrow 0 \rightarrow \mathbb{Z}_2 \rightarrow \cdots \rightarrow \mathbb{Z}_2 \rightarrow 0 \rightarrow \cdots 0$$

- A persistence module  $V$  indexed by  $T \subset \mathbb{R}$  is  $q$ -tame if for any  $v < s$  in  $T$ , the rank of the linear map  $v_r^s : V_r \rightarrow V_s$  is finite.
- If  $\mathbb{V}$  is a  $q$ -tame persistence module, then it has a well-defined persistence barcode.

Go Back

# Combinatoric Possibilities

- Check whether  $\psi_{\varepsilon_1, \varepsilon_2}^k(\alpha)$  and  $\psi_{\varepsilon_1, \varepsilon_2}^k(\beta)$  are linearly independent by evaluating the rank of the differential matrix  $\mathcal{D}$  at  $\varepsilon_2$  with appending  $\alpha'$ ,  $\beta'$  and  $\alpha', \beta'$  together.

$$r_\alpha := \text{rank}(\mathcal{D}_\alpha) - \text{rank}(\mathcal{D})$$

$$r_\beta := \text{rank}(\mathcal{D}_\beta) - \text{rank}(\mathcal{D})$$

$$r_{\alpha, \beta} := \text{rank}(\mathcal{D}_{\alpha, \beta}) - \text{rank}(\mathcal{D})$$

with the following cases:

$$\left\{ \begin{array}{ll} \alpha \text{ and } \beta \text{ both die} & \text{if } r_{\alpha, \beta} = 0, \\ \alpha \text{ and } \beta \text{ both live} & \text{if } r_{\alpha, \beta} = 2, \\ \alpha \text{ dies and } \beta \text{ lives} & \text{if } r_{\alpha, \beta} = 1 \text{ and } r_\alpha = 0, \\ \alpha \text{ lives and } \beta \text{ dies} & \text{if } r_{\alpha, \beta} = 1 \text{ and } r_\beta = 0, \\ \alpha \text{ and } \beta \text{ merge} & \text{if } r_{\alpha, \beta} = 1, r_\alpha = 1 \text{ and } r_\beta = 1. \end{array} \right.$$

# Matroids

## Definition

A partially ordered set is defined as an ordered pair  $P = (X, \leq)$ .

Here,  $X$  is called the ground set of  $P$  and  $\leq$  is the partial order of  $P$

## Definition

A matroid  $M = (S, \mathbb{I})$  is a finite ground set  $S$  together with a collection of sets  $\mathbb{I} \subset 2^S$  satisfying

- Downward closed:  $A \in \mathbb{I}$  and  $B \subseteq A \Rightarrow B \in \mathbb{I}$
- Exchange property:  $A, B \in \mathbb{I}$  and  $|B| < |A| \Rightarrow \exists x \in A \setminus B$  such that  $\{x\} \cup B \in \mathbb{I}$ .

# Matroids

## Terminology

- Independent set:  $I \in \mathbb{I}$
- Circuit: Minimal dependent set of  $M$
- Basis: Maximal independent set of  $M$
- Span: Basis  $B$  and  $B \subseteq \mathbb{I} \Rightarrow \mathbb{I}$  is a spanning set.

- Ground set  $\mathbb{V}$ : set of vectors spanning  $\mathbb{R}^d$
- Independent set  $\mathbb{I}$ : bases of  $\mathbb{R}^d$  in  $\mathbb{V}$
- Matroid:  $(\mathbb{V}, \mathbb{I})$

# The Rank Function of a Matroid

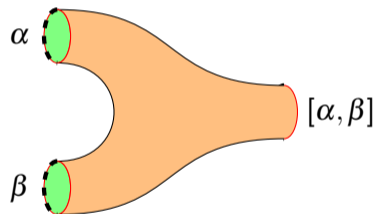
## Definition

Let  $M$  be a matroid on a finite ground set  $E$ . The rank  $r(X)$  of a subset  $X \subseteq E$  is the cardinality of the largest independent set contained in  $X$ . In other words

$$r(X) = \max\{|A| \in N \mid A \subseteq X \text{ and } A \in \mathcal{I}\}$$

# Cobordisms

For two linearly independent pair of homology classes  $\alpha$  and  $\beta$  in  $H_1(R_\varepsilon)$ , one can see  $\psi_{\varepsilon,\eta}^1(\alpha)$  and  $\psi_{\varepsilon,\eta}^1(\beta)$  are linearly dependent in  $H_1(R_\eta)$ . We, then, visualize that two classes  $\alpha$  and  $\beta$  merged at time  $\eta$ .



**Figure:** Cobordism in the merging case from  $S^1 \sqcup S^1$  to  $S^1$  representing two cycles  $\alpha$  and  $\beta$  evolve in  $[\alpha, \beta]$  from  $\varepsilon$  to  $\eta$ .